### LIPID REACTIONS

### **Technologically significant reactions (oleochemistry)**

### 1. esterification

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enzymatic (lipases)
nonenzymatic (acid and base catalysis)
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### 1.1.esterifications

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20-100 °C, H<sub>2</sub>SO<sub>4</sub>, HCl
```

$$R-OH + R^1-COOH \rightarrow R^1-COOR + H_2O$$

glycols, alditols +  $FA \rightarrow emulsifiers$ 

glycerol + FA (hydroxyl acids)  $\rightarrow$  emulsifiers (MAG and DAG)

### 1.2. interesterification

### acidolysis

 $R^{1}$ -COOR +  $R^{2}$ -COOH  $\rightarrow R^{2}$ -COOR +  $R^{1}$ -COOH

without catalyst, 250-300 °C; catalyst H<sub>2</sub>SO<sub>4</sub>, 150-170 °C

TAG + abietic acid  $\rightarrow$  varnish

TAG + phthalic acid  $\rightarrow$  glyptals

(drying oil – similar to natural resins)

H H

abietic acid derived from lat. word *Abies* = fir; nonvolatile component of turpentine

exchange lower/higher FA coconut oil, palm kernel fat enzymatically using lipase - synthesis of "structured TAG" CBE fat (Cocoa Butter Equivalent) = POSt + StOSt

### alcoholysis

$$R^1$$
-COOR +  $R^2$ -OH  $\rightarrow R^1$ -COOR<sup>2</sup>+ R-OH

NaOH, NaOR 20 °C and more,  $H_2SO_4$  ~ 100 °C, without catalyst 250 °C, enzymatically by lipases

methanolysis → Me-esters, biodiesel

butanolysis → Bu-esters (plasts softenings)

glycerolysis → parcial esters (emulsifiers)

### transesterification

$$R^{1}$$
-COOR +  $R^{2}$ -COOR<sup>3</sup>  $\rightarrow$   $R^{1}$ -COOR<sup>3</sup> +  $R^{2}$ -COOR

without catalyst  $\sim$  250 °C, acidic, basic catalyst < 100 °C, enz. lipases in the resulting mixture the distribution of FA in TAG is accidental

randomisation (melting point higher for about 20 °C)

### 2. molecule splitting -hydrolysis and saponification

$$R^{1}$$
-COOR  $\rightarrow R^{1}$ -COOH + R-OH

autocatalysed hydrolysis at high temperatures over 200 °C saponification by hydroxides soaps

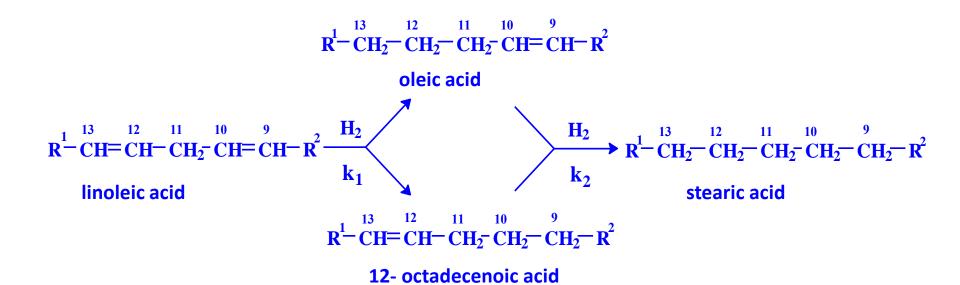
### 3. hydrogenation

-CH=CH- 
$$\rightarrow$$
 -CH<sub>2</sub>-CH<sub>2</sub>-

H<sub>2</sub>, 150-200 °C, Ni-catalyst; 0,1-0,2 MPa

hardened fats (hardening, hydrogenation)

stability against oxidation, consistency, absence of trans-acids



 $k_1 > k_2$  selective (dienic from trienic, rape oil)

 $k_1 < k_2$  nonselective

### side-reactions

- positional isomerisation (unusual isomers)
- cis/trans isomerisation (30-45% trans-isomers)

### Rancidity of oils and fats

- hydrolytic rancidity
- scented rancidity
- reversion
- oxidation

### hydrolytic rancidity

- enzymatic reactions: lipases (butter, coconut oil, palm oil)
- chemical reaction: frying

$$TAG \rightarrow FA + DAG + MAG$$

- butter, milk, coconut oil, palm oil
- chocolate
- cheese

undesirable partly desirable desirable

### threshold value (mg/kg) of free fatty acids

FA	cream	COCO	nut fat
	smell taste	smell	taste
C4:0 rancid	50 60	35	160
C6:0 rancid	85 105	25	50
C8:0 moldy, rancid, soapy	200 120	> 1000	25
C10:0 soapy	> 400 90	> 1000	15
C12:0 soapy	> 400 130	> 1000	35
C14:0 soapy	> 400 > 400	> 1000	75

# flavor of polyunsaturated fatty acids in emulsions (taste of the corresponding TAG is neutral)

FA threshold value μmol/l		taste	
oleic	9-12	bitter, spicy	
linoleic	4-6	bitter, spicy	
elaidic	22	weakly spicy	
$\alpha$ -linolenic	3-6	weakly spicy	
γ-linolenic	0.6-1.2	weakly spicy	
arachidonic	6-8	weakly spicy	

### scented rancidity

- enzymatic reaction: microorganisms and their enzymes
- FA with short and medium carbon chain
- milk fat, coconut oil, palm oil undesirable
- mould cheeses desirable

methylketon	smell	threshold value
		μg/kg (in water)
pentan-2-on	fruity (bananas)	2300
hexan-2-on	fruity (bananas)	930
heptan-2-on	flowery, herbal	650
octan-2-on	flowery	190
nonan-2-on	flowery, meaty	190

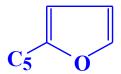
### reversion

typical for soybean oil (or other oil containing linolenic acid )

chemical r. (autoxidation) → hydroperoxides → derivatives of furan

off-flavour:

the smell of varnish, fish, grass, beans



refining can remove odor, but the defect returns 
reversion

### **OXIDATIVE RANCIDITY**

oxidation of the hydrocarbon chains

nonenzymatic reactions

atmospheric oxygen (triplet/ <sup>3</sup>O<sub>2</sub>) autoxidation

<u>reactive oxygen species</u> (singlet/ ${}^{1}O_{2}$ , radicals,  $H_{2}O_{2}$ )

5 excited states

 $^{1}\Sigma$  (sigma)  $^{1}\Delta$  (délta)

157 kJ

93,8 kJ

formation in food: photochemical reactions with the participation of photosensitizers from <sup>3</sup>O<sub>2</sub>

pigments (riboflavin, chlorophyll, heme)

free radicals

- •O<sub>2</sub> (superoxide radical)
- OH (hydroxyl radical)
- enzymatic reactions

lipoxygenases (formerly lipoxidases)

### consequences

negative

**lowering of sensory quality** 

fats, oils, foods cosmetics, gasoline

**lowering of nutritive value** 

reaction of oxidised lipids with proteins

<u>lowering of hygiene-toxicological quality</u>

toxic products

aging, illness (in vivo)

positive

formation of aromatic compounds

# nonenzymatic reactions oxidation by triplet oxygen, autoxidation

# general mechanism of hydrocarbon chain autoxidation (radical reaction)

1. induction stage

1. propagation stage

$$R \bullet + O_2 \rightarrow R - O - O \bullet$$
 hydroperoxyl radical  $R - O - O \bullet + R - H \rightarrow R - O - O - H + R \bullet$  hydroperoxide up to thousands of segments (influence temperature,  $pO_2$  etc.) hydroperoxide= primary oxidation product decomposition of hydroperoxides

3. terminal stage

mutual radical reactions, polymers of various types  $R \bullet + R \bullet \rightarrow R - R \qquad C-C \text{ bond}$   $R \bullet + R-O-O \bullet \rightarrow R-O-O-R \qquad C-O-O-C \text{ bond (peroxide)}$   $2 R-O-O \bullet \rightarrow R-O-O-R + O_2$ 

### initiation

mainly fotosensitized (photo-oxidation) and enzymatic reactions

singlet oxygen

hydroperoxide

"first radicals" from hydroperoxide decomposition

### hydroperoxide decomposition

monomolecular decomposition

 $R-O-O-H \rightarrow R-O + OH$  alkoxyl radical

bimolecular decomposition (at higher concentrations of ROOH)

$$2 \text{ R-O-O-H} \rightarrow \text{ R-O-O} + \text{ R-O} + \text{ H}_2\text{O}$$

reactivity of radicals

$$HO \bullet > R-O \bullet > R-O-O \bullet$$

subsequent fate of alkoxyl radicals

decomposition → aromatic compounds

recombination in terminal stage

$$R \bullet + R - O \bullet \rightarrow R - O - R$$
 bond C-O-C  
 $R - O \bullet + R - O - O \bullet \rightarrow R - O - R + O_2$ 

### oxidation of unsaturated acids (at ordinary temperature)

O:L:LL=1:10:100

structure	dissociation energy (kJ /	/ mol)
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H-CH<sub>2</sub>- 422

 $CH_3$ -CH-H- 410

-H-CH-CH=CH- 322

-CH=-CH-H-CH-CH=CH- 272

oxidation of unsaturated acids ambient temperature

oxidation of saturated acids temperatures of frying and roasting

### oxidation of oleic acid

→ mixture of 4 hydroperoxides in ratio of cca 1:1:1:1

geometric isomers

9-hydroperoxy-10-enoic acid

positional isomers

cis or trans

- mainly *trans* 

10-hydroperoxy-8-enoic acid

### oxidation of linoleic acid

 $\rightarrow$  mixture of 7 hydroperoxides, mostly 9- and 13-

(E,Z)-9-hydroperoxy-10,12-dienoic acid (Z,E)-13-hydroperoxy-9,11-dienoic acid

0-0H

### oxidation of linolenic acid

-CH<sub>2</sub>-CH<sup>-</sup>CH<sup>-</sup>CH<sup>-</sup>CH<sup>-</sup>CH<sub>2</sub>-

→mixture of many hydroperoxides – mostly 9-, 12-, 13- and 16with 2 conjugated double bonds and one isolated bond

0-0H

### subsequent reaction of hydroperoxides

→ secondary autooxidation products

• same number of C atoms epoxy-, hydroxy-, oxo-acids

• lower number aldehydes, hydrocarbons and others

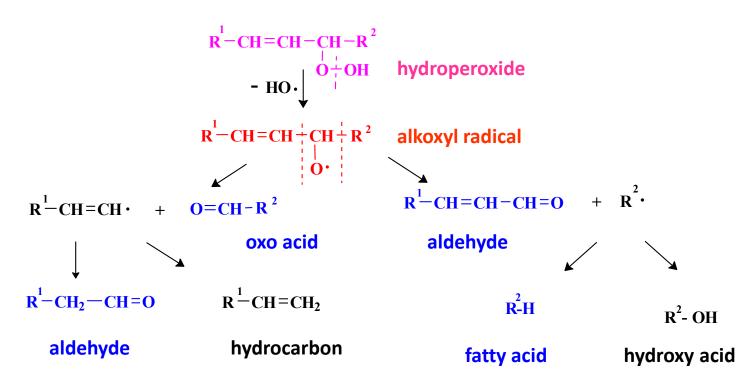
higher number various polymers

### formation of epoxy-, hydroxy- a oxo-compounds

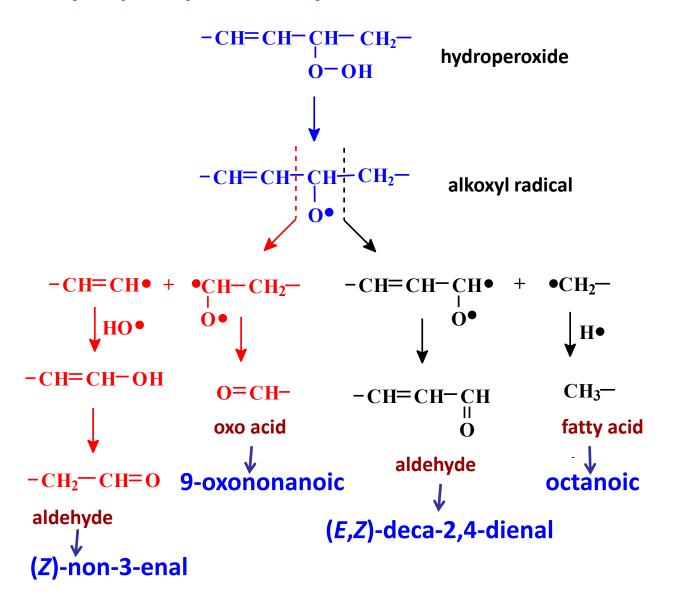
$$-CH=CH-CH_2- \xrightarrow{R-O-O} \bullet -CH-CH-CH_2- \xrightarrow{-R-O} \bullet -CH-CH_2- \xrightarrow{-R-O} \bullet -CH_2- \xrightarrow{-R-O} \bullet$$

### formation of aldehydes and hydrocarbons

volatile secondary products - flavour compounds general mechanisms



### for example 9-hydroperoxy-10,12-fatty acid from linoleic acid



subsequent reaction of aldehydes

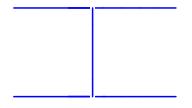
### some of aldehydes arising by oxidation of unsaturated acids

primary aldehyde	aldehyde after isomeration	hydroperoxy acid
(Z)-undec-2-enal	(E)-2-undecenal	(Z)-8-hydroperoxyoctadec-9-enoic
( <i>E</i> )-dec-2-enal		(E)-9-hydroperoxyoctadec-10-enoic
nonanal		(E)-10-hydroperoxyoctadec-8-enoic
octanal		(Z)-11-hydroperoxyoctadec-9-enoic
( <i>Z,Z</i> )-undeca-2,5-dienal	(E,E)-undeca-2,4-dienal	(Z,Z)-8-hydroperoxyoctadeca-9,12-dienoic
( <i>E,Z</i> )-deca-2,4-dienal	( <i>E,E</i> )-deca-2,4-dienal	( <i>E,Z</i> )-9-hydroperoxyoctadeca-10,12-dienoic
( <i>Z</i> )-non-3-enal	( <i>E</i> )-non-2-enal	( <i>E,Z</i> )-10-hydroperoxyoctadeca-8,12-dienoic
( <i>Z</i> )-okte-2-nal	( <i>E</i> )-okt-2-enal	( <i>Z,Z</i> )-11-hydroperoxyoctadeca-9,12-dienoic
(E)-hept-2-enal		( <i>Z,E</i> )-12-hydroperoxyoctadeca-9,13-dienoic
hexanal		( <i>Z,E</i> )-13-hydroperoxyoctadeca-9,11-dienoic
pentanal		( <i>Z,Z</i> )-14-hydroperoxyoctadeca-9,12-dienoic
( <i>E,Z,Z</i> )-deca-2,4,7-trienal		( <i>E,Z,Z</i> )-9-hydroperoxyoctadeca-10,12,15-trienoic
( <i>Z,Z</i> )-nona-3,6-dienal	( <i>E,Z</i> )-nona-2,6-dienal	( <i>E,Z,Z</i> )-10-hydroperoxyoctadeca-8,12,15-trienoic
( <i>Z,Z</i> )-octa-2,5-dienal	( <i>E,E</i> )-octa-2,4-dienal	(Z,Z,Z)-11-hydroperoxyoctadeca-9,12,15-trienoic
( <i>E,Z</i> )-hepta-2,4-dienal	( <i>E,E</i> )-hepta-2,4-dienal	(Z,E,Z)-12-hydroperoxyoctadeca-9,13,15-trienoic
(Z)-hex-3-enal	( <i>E</i> )-hexe-2-nal	(Z,E,Z)-13-hydroperoxyoctadeca-9,11,15-trienoic
( <i>Z</i> )-pent-2-enal	( <i>E</i> )-pent-2-enal	(Z,Z,Z)-14-hydroperoxyoctadeca-9,12,15-trienoic
propanal		(Z,Z,E)-16-hydroperoxyoctadeca-9,12,14-trienoic

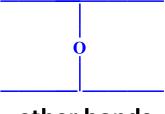
### organoleptic properties of aldehydes

aldehyde	smell	precursor
propanal	pungent	linolenoic acid
pentanal	pungent	linoleic acid
hexanal	tallowish, grass	linoleic acid
heptanal	oily, greasy	oleic acid
nonanal	tallowish	linolenoic acid
( <i>E</i> )-pent-2-enal	oily, greasy, grass	linolenoic acid
( <i>Z</i> )-hex-3-enal	Grass	linolenoic acid
( <i>E</i> )-hex-2-enal	oily, greasy, grass	linolenoic acid
( <i>E</i> )-hept-2-enal	oily, greasy	linoleic acid
( <i>Z</i> )-okt-2-enal	after walnuts	linoleic acid
( <i>E</i> )-okt-2-enal	oily, greasy	linoleic acid
( <i>E</i> )-non-2-enal	oily, greasy	linoleic acid
( <i>E,Z</i> )-hepta-2,4-dienal	oily, greasy,	linolenoic acid
	after frying fats	
( <i>E,E</i> )-hepta-2,4-dienal	oily, greasy	linolenoic acid
( <i>Z,Z</i> )-nona-3,6-dienal	after cucumber	linolenoic acid
( <i>E,Z</i> )-nona-2,6-dienal	after cucumber	linolenoic acid
( <i>E,Z</i> )-deca-2,4-dienal	after frying fats	linoleic acid
(E,E)-deca-2,4-dienal	after frying fats	linoleic acid
( <i>E,Z,Z</i> )-deca-2,4,7-trienal	after fish oil	linolenoic acid

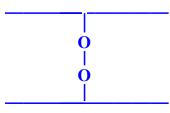
### polymers formation usually by reaction of two radicals



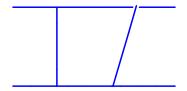
**C-C bonds** 



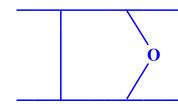
ether bonds



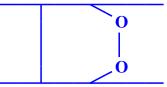
peroxide bonds



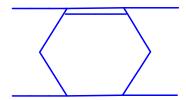
two-fold C-C bond cyclopentane cycle



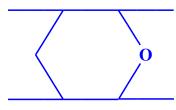
tetrahydrofurane bonds



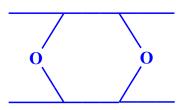
C-C and peroxide bonds



two-fold bond C-C cyclohexenic cycle



tetrahydropyrane bonds

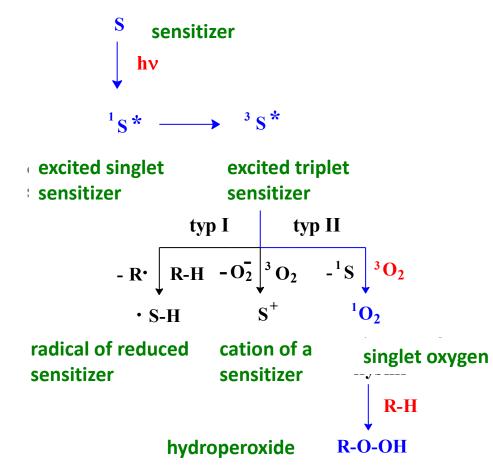


dioxane bonds

### oxidation with singlet oxygen

### **formation**

- photooxidation (photosensitizers)
- enzymatic reaction (photosynthesis)
   addition to the double bond, ~ 1000 x faster than oxidation



### scavengers of singlet oxygen and hydroxyl radicals

- can quench singlet oxygen
- β-carotene and other carotenoides
- tocopherols
- ascorbic acid
- $^{1}$  carotenoid +  $^{1}O_{2}$  →  $^{3}$  carotenoid +  $^{3}O_{2}$
- <sup>3</sup> carotenoid (excited triplet state)  $\rightarrow$  <sup>1</sup> carotenoid

### enzymatic oxidation

**lipoxygenases** (lipoxidases, linoleate: O<sub>2</sub> oxidoreductase)

$$E_{18:2} = 17 \text{ kJ/mol}$$

unsaturated FA  $\rightarrow$  hydroperoxide of UFA (optically active)

C<sub>18:2</sub> 9- a 13-hydroperoxides C<sub>18:3</sub> 9- a 13-hydroperoxides 10-hydroperoxides 10-hydroperoxides

specifity (regio-, stereo-) example C<sub>18:2</sub>

soya  $\rightarrow$  (13*S*)-, 9-*cis*-, 11-*trans*-

tomatoes  $\rightarrow$  (9*S*)-, 10-*trans*-, 12-*cis* 

mushrooms  $\rightarrow$  (10*S*)-, 8-*trans*-, 12-*cis*-

### negative, positive consequences

animals: decomposition by glutathionperoxidases

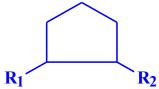
plants and mushrooms: splitting by lyases, isomerases, aroma compounds

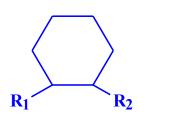
### termic reactions

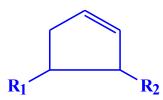
geometric isomerization

positional isomerization

cyclization









polymerization

**Diels-Alder reaction** 

$$R_1$$
 $R_2$ 
 $R_4$ 
 $R_4$ 

### inhibition of autoxidation

- temperature
- air (oxygen)
- radiation (UV)
- composition of the fat (ratio of SFA/UFA)
- inhibitors (antioxidants, synergists)

### antioxidants

### classification according to origin

- natural (mostly tokopherols, phenols)
- synthetic (mostly phenols)

### classification according to activity (mechanisms)

- primary (reaction with radicals)
- secondary (reduction of R-O-OH)

### phenolic antioxidants (mechanism of action)

$$R-O-O \bullet + H-A \rightarrow R-O-O-H + A \bullet$$

$$\begin{matrix} & & & \\ & & & \\ R & & & \\ & & & \\ \end{matrix}$$

**H-A** (antioxidant)

A (antioxidant radical)

### main reactions

$$2A \bullet \rightarrow A-A$$

$$A \bullet + R-O-O \bullet \rightarrow R-O-O-A$$

$$A \bullet + R-O \bullet \rightarrow R-O-A$$

side reactions (> 0,01%)

$$A \bullet + O_2 \rightarrow A-O-O \bullet$$

$$A-O-O \bullet + R-H \rightarrow A-O-O-H + R \bullet$$

### main natural antioxidants

### main synthetic antioxidants

### applications

BHA, BHT, tocopherols, dodecylgallate TBHQ, propygallate

type of emulsion: oil/water pure fats (oils)

### antioxidant effect on the process of autoxidation reactions

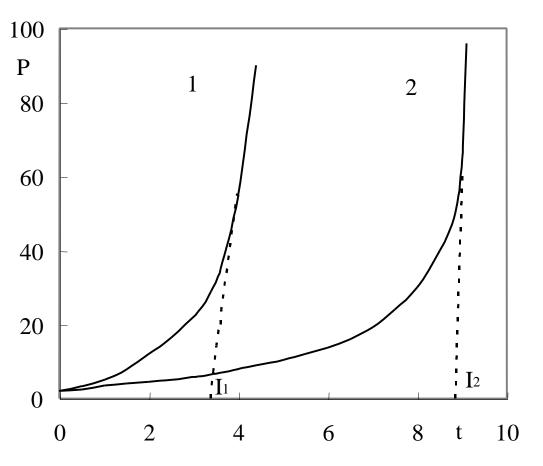
P - peroxide number

t – time of autoxidation at 60°C (days)

1 - antioxidant BHA = 0% 2 - BHA=0,02%

 $I_1$  a  $I_2$  = induction periods

protective factor PF =  $(I_2-I_1)/I_1$ 



# PF, lard, 0,02% α-tocopherol 5 BHT 6 γ-tocopherol 15 BHA + BHT 12 BHA 9.5 octylgallate 6