

22/8/11

CPU scheduling

It arises from the multiprogramming concept. \rightarrow multiple prog.s run in the system simultaneously.

(Every prog. consists of some I/O of some executable instructions.)

\leq By having no. of prog.s in mem. at the same time, CPU may be shared among them. This improves the overall efficiency of the system by getting more works done in less time.

- The benefits of this scheme are:

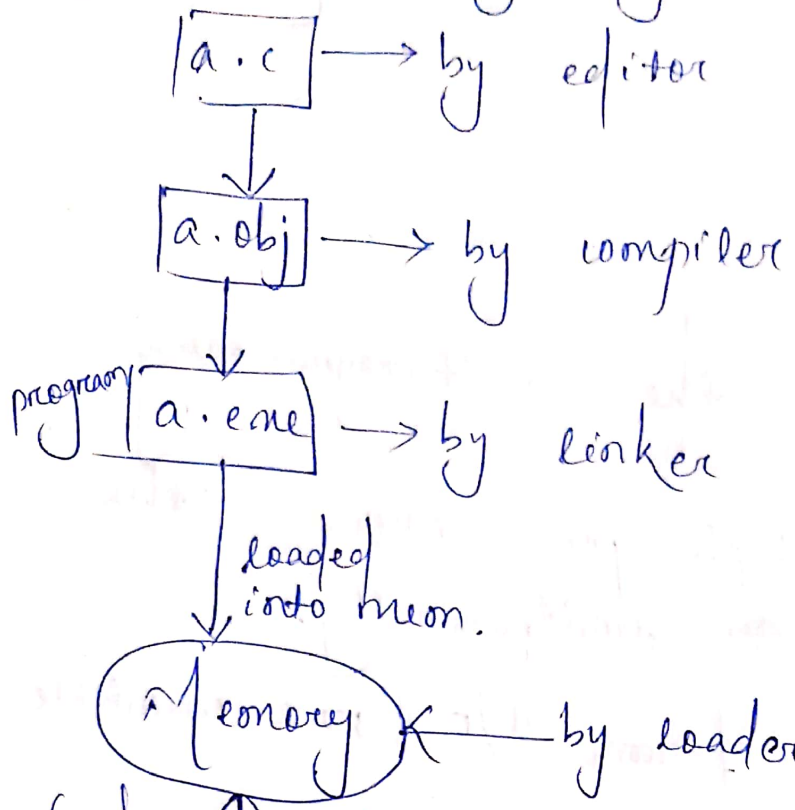
- Increased CPU utilization
- " Job through put

\rightarrow amount of jobs that can be completed within a time interval.

Process - Prog. under execution.

Prog - set of self contained instructions. There

must be a beginning of an end.



(when it's loaded into mem. it's called process)

(one prog. can lead to many process)

executed by OS

Program

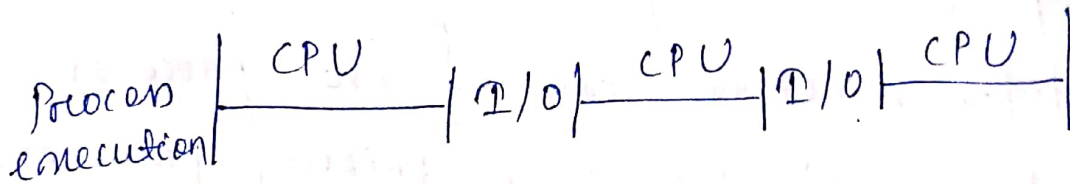
- static in nature
- Takes space on the disk
- Permanent

Process

- dynamic
- It has a life. It takes birth & dies.
- Temporary

(If a prog. is run 5 times then 5 processes are created, each are identical. B'c'z each process has a unique PID (Process identification) number.)

Process \Rightarrow It's a prog. in execution.

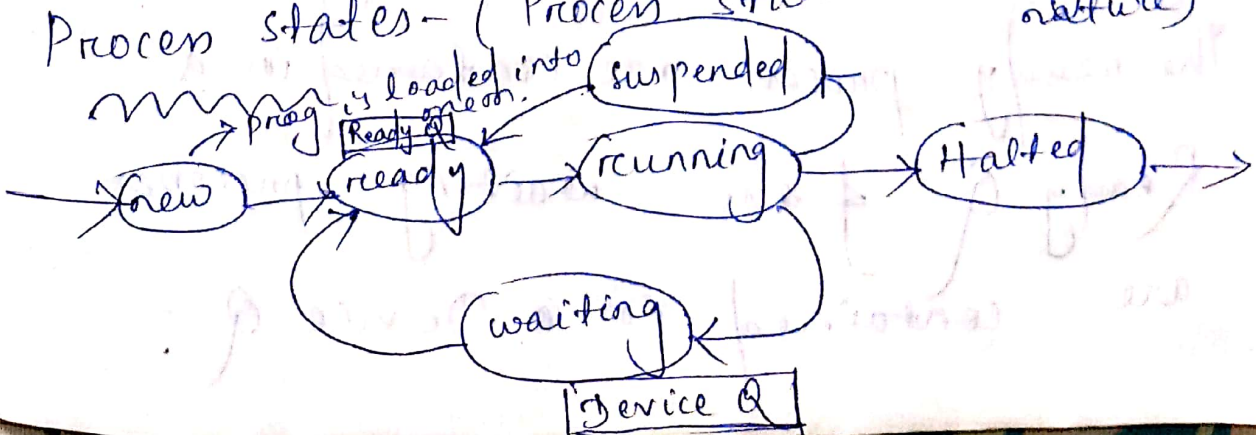


Process execution - It's a cycle of CPU execution & I/O wait, beginning & ending with a CPU burst.

(CPU burst \rightarrow Requirement of CPU time.)

- During this the process goes through different process states.

Process states - (Process states are cyclic in nature)



ready \rightarrow process requires some resources, resources are allocated to it, but the CPU is not free.

running \rightarrow CPU time is assigned

waiting \rightarrow waiting for I/O opⁿ

Halted \rightarrow all the allocated resources are released from the process.

suspended \rightarrow sometimes some of the processes may be suspended. lower priority processes are taken out of the mem. temporarily. (their state is saved)

Appⁿ \rightarrow CPU bound \rightarrow I/O is less, CPU time requirement is more \rightarrow Ex \rightarrow Scientific app^s

Appⁿ \rightarrow I/O bound \rightarrow I/O is more, CPU time requirement is less \rightarrow Ex \rightarrow commercial appⁿ

Many processes are in ready state but only 1 " is " running "

The ready process are contained in a Ready Q of the waiting processes are contained in a Device Q.

1 CPU \Rightarrow 1 ready Q

each device has its own device Q.

Process Control Block (PCB)

(when a process created, its birth record, i.e. PCB, is created)

- Each process is represented by a PCB, which is a data block or record containing the following info.

- pid-no. (process identification no.)

\hookrightarrow assigned by OS.

- Process state (current state of process)

- Program Counter (PC register is one of it holds the value in the currently running prog.)

- CPU registers

(When CPU switches from 1 process to another, the CPU register values of old process are loaded to the corresponding PCB, the register values of the new process are loaded ^{from PCB} into the CPU registers.

This is called context switching & the time required for this switching is called context switching time.)

- CPU scheduling info. (^{it requires} priority)
 - ↳ (what's the priority of the current process)
- Mem. management info.
 - (LBR (Lower Bound Register) & UBR (Upper Bound Register))
 - ↳ the process is in which part of mem.

- I/O status info.
 - ↳ what are the files opened by your process & a particular file is read upto which record, i.e. a file pointer.

- Accounting info.

10 process in mem \Rightarrow 10 PCB in mem.

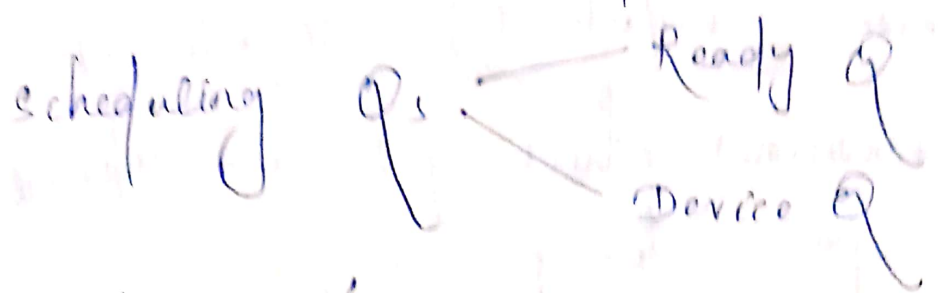
3 " are suspended \Rightarrow 7 processes in mem.

\Rightarrow 10 PCB in mem.

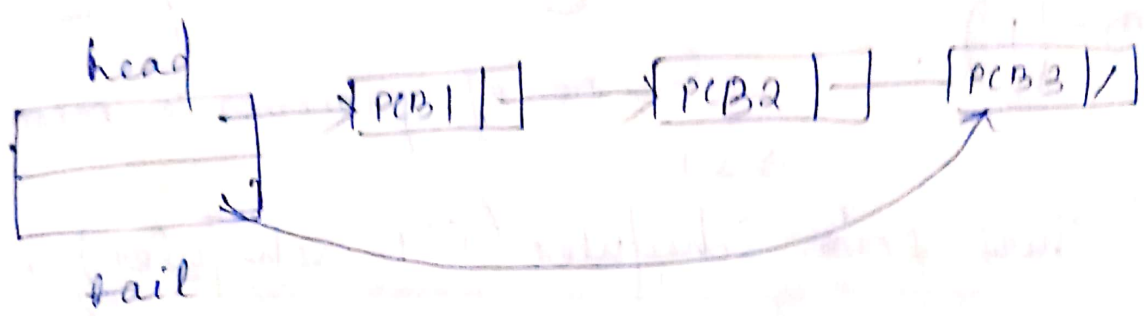
1 process is suspended from mem. \Rightarrow 9 PCB is not suspended from mem.

4 process is terminated \Rightarrow PCB is destroyed from mem.

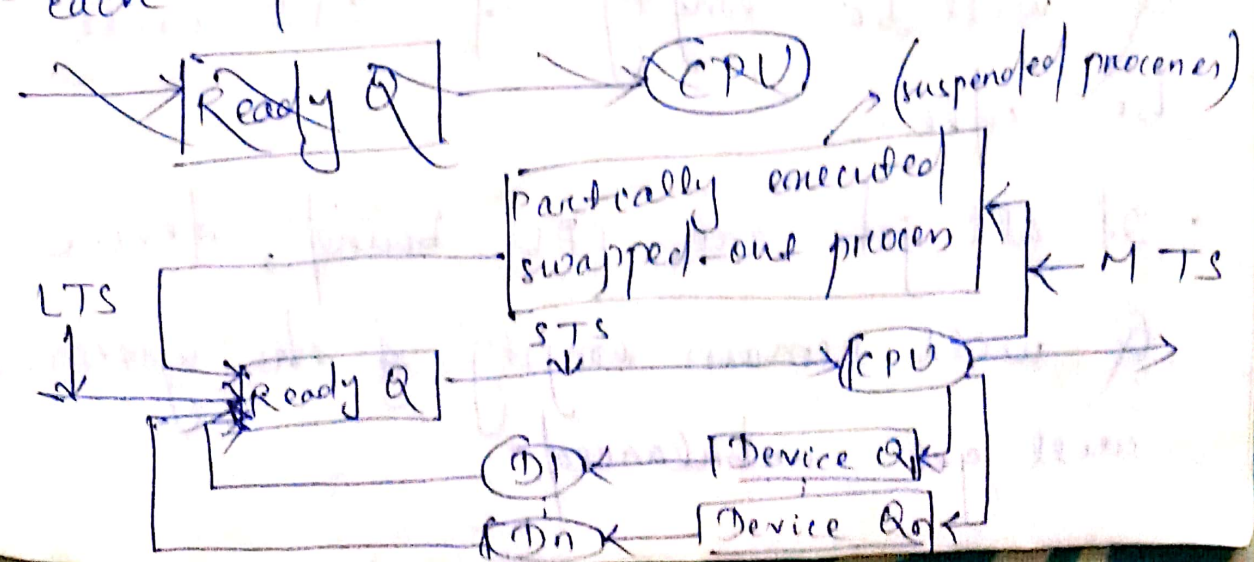
PCBs must be stored in mem.
 (therefore it's called data structure)



Ready Q / Device Q



- Processes which are ready & waiting to execute are kept in the ready Q.
- the list of processes waiting for a particular I/O device are put into a device Q.
- Each device has its own device Q.



Different types of processes Schedulers

① Long term Scheduler (Job scheduler) →

- It determines which jobs are admitted to the system for processing.
 - It controls the degree of multiprogramming (DMP).
- no. of processes in mem.

② Short term Scheduler (CPU scheduler) →

- It selects from among the jobs which are ready to execute & allocates CPU to one of them.

③ Medium term Scheduler (Swapper) →

- If all jobs are I/O bound, ready Q will be empty & STS will be idle.
- If all jobs are CPU bound, device Q will remain empty & the system will get unbalanced.

- Therefore, a job mix up both the categories are required.

- If required the RTS removes active processes from mem. & reduces the DR/P.

- At some later time that process can be brought into mem. to resume its opⁿ/exec further execution.

- This scheme is otherwise called swapping.

25/8/15

CPU scheduling Algorithm

- It decides which of the processes in RQ (Ready Q) is allocated the CPU next.

Performance Criteria of the algorithms

(a) Maximise:

(i) CPU utilisation

(ii) Throughput

(b) Minimise :

(i) Turnaround time

(Time gap b/w job submission & job completion)

↳ during this time the process is sometimes in the RQ, sometimes in running state & sometimes in waiting state

(ii) Waiting time

(Turn around time - Running time)

(iii) Response time

(Time gap b/w job submission & getting the 1st response from the CPU.)

(Generally turnaround time & waiting time are taken into consideration to compare different algorithms.)

CPU scheduling algorithms

Non-preemptive
alg.

Preemptive
alg.

(preempt \rightarrow to take away forcefully)

non-preemptive alg.

- ① FCFS (First-Come-First-Served)
- ② SJF (shortest Job First)
- ③ Priority

Preemptive alg.

- ① RR (Round-Robin) (equivalent to FCFS)
- ② SRTF (shortest remaining time first) (equivalent to SJF)
- ③ Priority (equivalent to priority without preemption)

(Unix uses RR alg. It's the truly timesharing alg.)

(i) First-come-first-served (FCFS) alg.

- Using this alg. the process which requests the CPU first is allocated the CPU first.

- When a process enters the ready Q, it's linked to the tail of the Q.

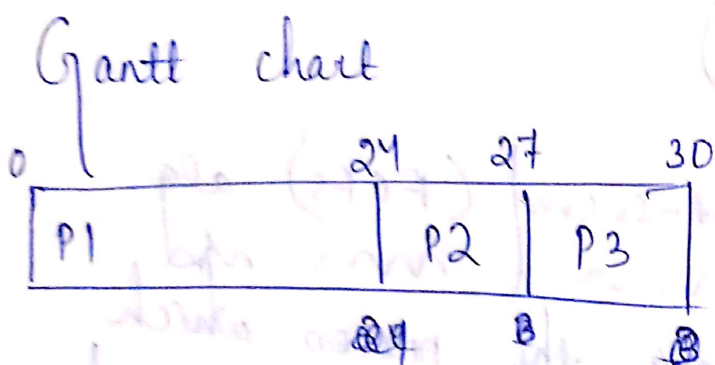
When the CPU becomes free, it's allocated to the process at the head of the ready Q.

Process	CPU Burst Time (BT)	TAT	WT
P1	24	24	0
P2	3	27	24
P3	3	30	27
		<u>81</u>	<u>51</u>

TAT \rightarrow Turn Around Time = CPU BT + WT

WT \rightarrow Waiting Time = TAT - CPU BT

Process execution CPU utilization is depicted through a chart, called Gantt chart.



$$\begin{aligned} \text{Avg. TAT} &= \frac{81}{3} = 27 \\ \text{Avg. WT} &= \frac{51}{3} = 17 \end{aligned}$$

(assume that all processes arrive at the same time.)
 (For non-preemptive, order of arrival is imp., arrival time is not imp.)
 (For preemptive, arrival time is imp.)

Adv. of this alg.

- It's simple to understand & implement.

Disadv.

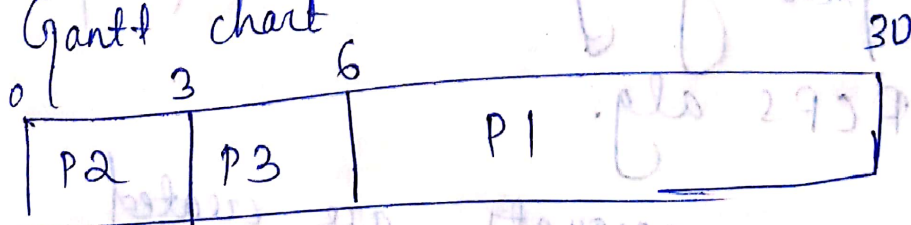
- The avg. TAT & WT becomes large.

(ii) Shortest Job First (SJF) alg.

- This alg. associates with each job the length of its next CPU burst.
(predicted value)

when the CPU is available, it's assigned to the job with the smallest next CPU burst. If there are more than one jobs with the same next CPU burst then FCFS is used among them.

Gantt chart



TAT
30
3
6
39

WT
6
0
3
9

$$\text{Avg. TAT} = \frac{39}{3} = 13$$

$$\text{Avg. WT} = \frac{9}{3} = 3$$

Adv.

- It's optimal because it gives min^{im} avg. WT of TAT for a given set of jobs.

- It also decreases the WT for shorter jobs.

Disadv.

- It's based on a predicted value which may or may not be true.

(iii) Priority alg.

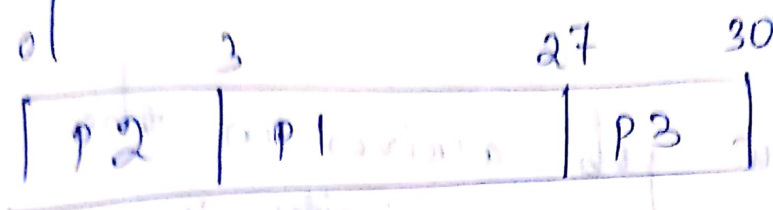
- Here a priority is associated with each job. CPU is allocated to the job with the highest priority.
- Equal priority jobs are scheduled using F.C.F.S alg.

(When user accounts are created by the super user, every account has a priority. When you run a prog. it runs according to the priority of your account.)

Process	Priority	CPU BT	TAT	WT
P ₁	1	24	27	3
P ₂	0	3	3	0
P ₃	2	3	30	27
			60	30

Avg. = $\frac{60}{3} = 20$ Avg. = $\frac{30}{3} = 10$

Gantt chart



(Real life applⁿ require priority)

Adv.

- It gives priority to time sensitive

applⁿ.

Disadv.

- It can sometimes lead to the prob. of starvation (indefinite blocking).

(We should use the priority with a solⁿ for starvation.

Solution to the prob. of starvation

is

Ageing

if a process waits for a longer period of time then its priority increases to the next level of priority

- Aging is a technique of gradually increasing the priority of jobs that wait in the system for a long time.

1/9/15
mm

Preemptive Alg.
mm

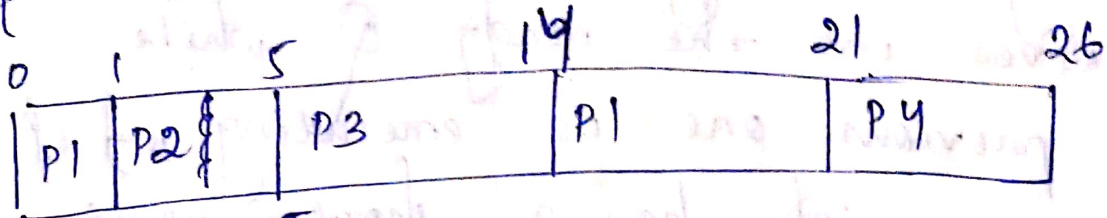
(i) Priority
mm

- when a new job arrives in the ready queue, its priority is compared with the priority of currently running process.

- if the priority of the newly arrived job is higher as compared to currently running process, then CPU is preempted from the currently running process & is allocated to newly added job.

Process	AT (Arrival Time)	Priority	CPU BT	TAT	WT
P1	0	1	8-17	21	13
P2	1	0	4	4	0
P3	2	0	9	12	3
P4	3	2	5	23	18

Gantt chart



$$\text{Avg. TAT} = \frac{60 + 36 + 15}{4} = 15$$

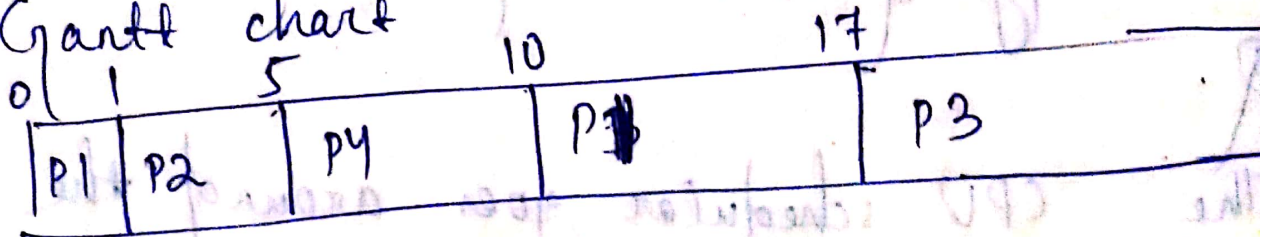
$$\text{Avg. WT} = \frac{3 + 4}{4} = 8.5$$

(Adv. of disadv. are same with the prev. priority alg.)

(ii) Shortest Remaining Time First (SRTF)

Process	AT	CPU BT	TAT	WT
P1	0	2-1=1	17	9
P2	1	4	4	0
P3	2	9	24	15
P4	3	5	7	2

Gantt chart



$$\text{Avg. TAT} = \frac{5 + 2}{4} = 13$$

$$\text{Avg. WT} = \frac{2 + 6}{4} = 6.5$$

- Using this alg. when a new jobs arrives in the ready Q while a previous one is executing, if the new job has a shorter next CPU burst as compared to what's left of the left over time of currently executing job, then CPU will be preempted from the currently running job & be allocated to the newly added job.

(Adv. & dis adv. are same as SJF)
(Starvation may happen here)

(iii) Round Robin (RR) Alg.

- Using this alg. a time quantum (TQ) is defined.

- The ready Q is treated as a circular Q.

- The CPU scheduler goes around the ready Q, allocating the CPU to each process for a time interval of 1 time quantum.

- The scheduler picks up the 1st job from the ready Q, sets a timer to interrupt after 1 TQ & then switches to the next job from the ready Q. This switching is known as Context Switching.

- This requires the CPU to save the registers for the old process & load the registers for the new process.

- If the CPU burst is less than the TQ, then the process will release the CPU voluntarily for the next process.

- On the other hand, if the CPU burst is greater than the time quantum then the timer will go off & will cause an interrupt so that CPU can proceed with the next job.

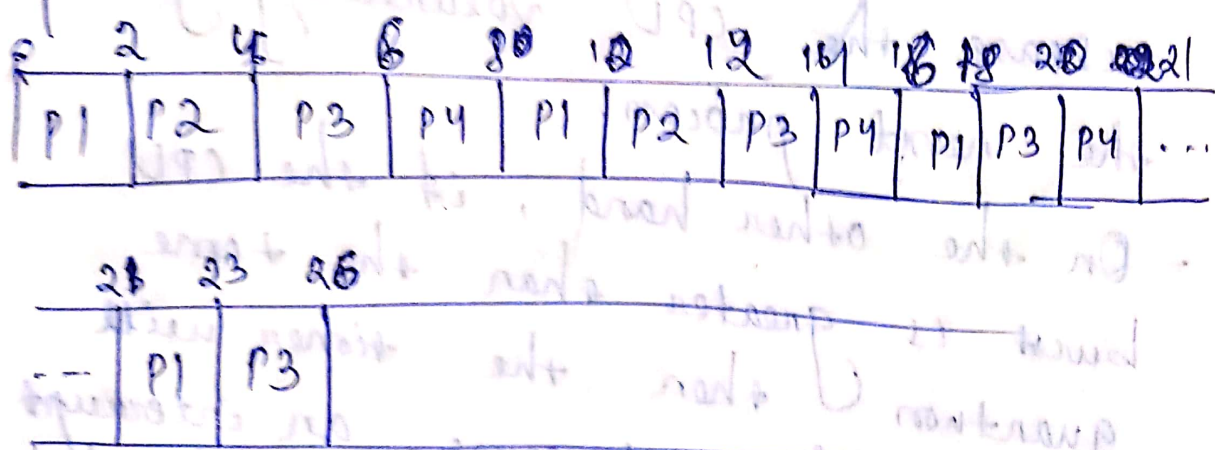
- The TQ value should be chosen very carefully. Because if it becomes

too large, it becomes equivalent to FCFS if it's too low, it leads to very frequent context switching which is not desirable.

Process	AT	CPU BT	TAT	WT
P1	0	$8 - 2 = 6$ $6 - 2 = 4$ $4 - 2 = 2$	23	15
P2	1	$4 - 2 = 2$ $2 - 2 = 0$	11	7
P3	2	$9 - 2 = 7$ $7 - 2 = 5$ $5 - 2 = 3$	24	15
P4	3	$5 - 2 = 3$ $3 - 2 = 1$ $1 - 2 = 0$	18	13

$TQ = 2$

Gantt chart



$$\text{Avg. TAT} = \frac{76}{4} = 19$$

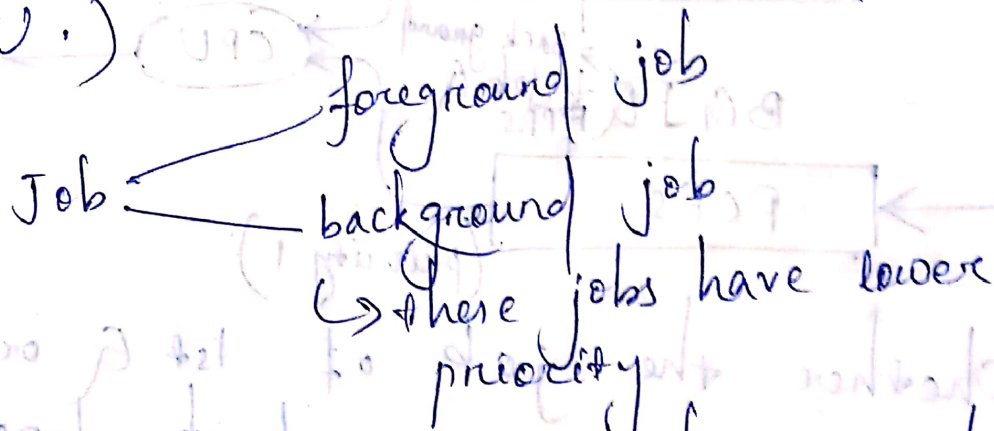
$$\text{Avg. WT} = \frac{160}{13} = 12.5$$

Adv.

- there will be no starvation.

- in the true sense it's a time sharing system, which's used by the popular alg. Unix.

Unix uses RR along with priority. Generally there's a ready Q per CPU. But Unix uses more than one ready Q for one CPU.)

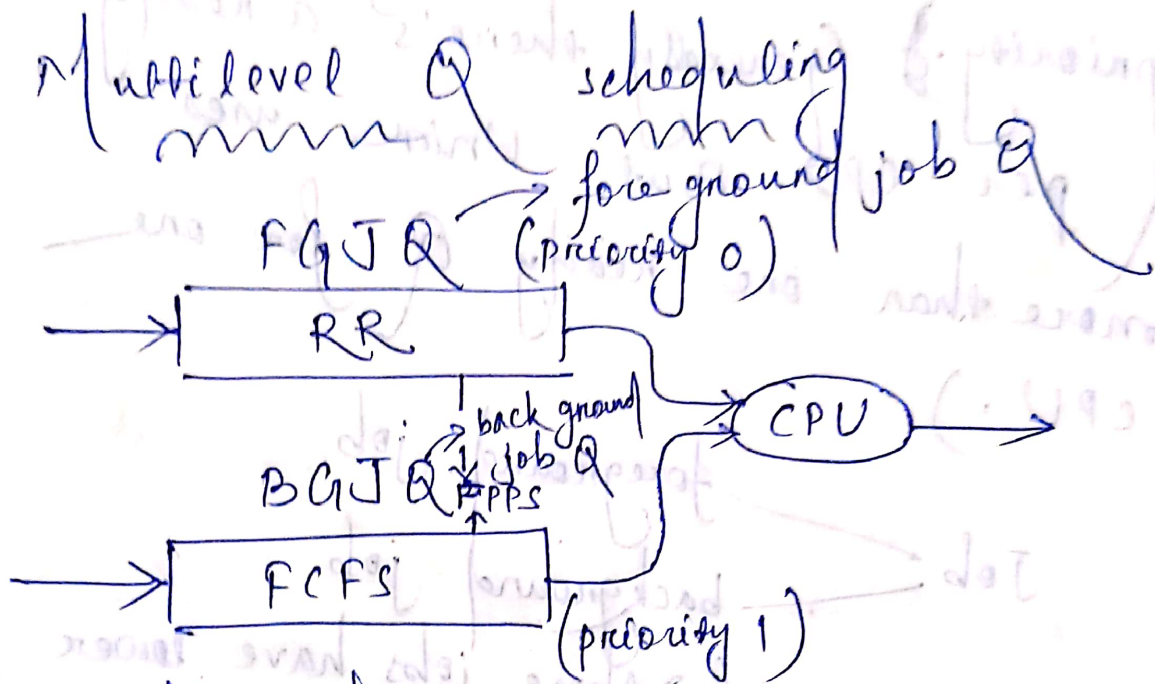


in Unix if we give the command

\$ prog1 &
then prog1 will run in the background if we can give another command immediately.

But if the command written without '&', then job will run in foreground

mode. In this case we can give another command only after the prog. is executed. Unix maintains 2 ready Q for one CPU: one for background jobs & another for foreground jobs.



Whether the job of 1st Q or 2nd Q will be executed depends upon their priority. BCJTQ has lower priority than FGTQ. This is called fixed priority scheduling. Foreground jobs use round robin alg. & background jobs use FCFS alg.

Jobs are classified into different categories like foreground jobs and background jobs.

The ready queue is partitioned into separate queues.

The jobs are permanently assigned to one of the queues depending upon the job type.

First there will be a scheduling priority preemptive schedule.

Each queue will have its own scheduling algorithm, which is round robin for foreground job queue and FCFS for background job queue.

This can lead to starvation.

Multilevel feedback queue scheduling

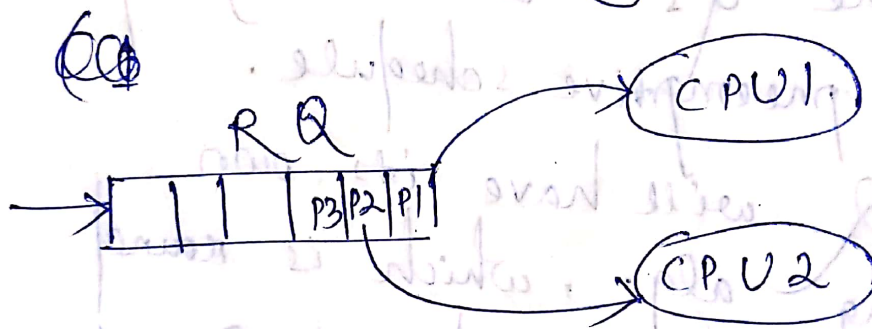
- It allows a job to move between the queues.

- If a job uses too much of

CPU time it will be moved to a lower priority. Similarly if a job waits too long in a lower priority Q , it can be moved to a higher priority Q .

Multiple Processor Scheduling

① Homogenous (All the processors are of the same type)
 → it uses load sharing

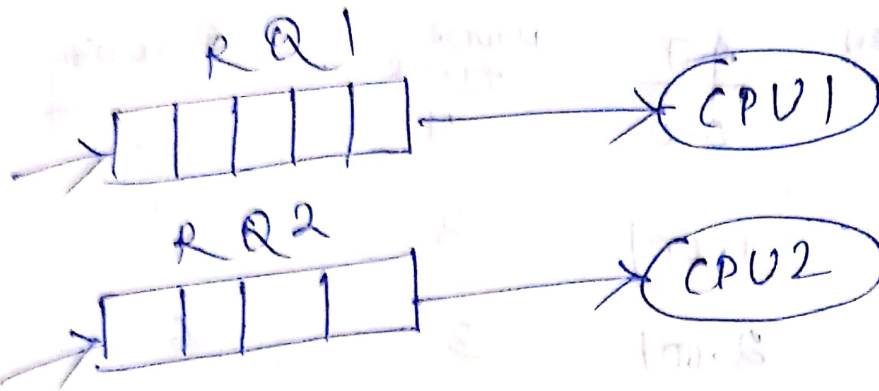


(1 CPU, 1 RQ → Time sharing
 Multiple CPU, 1 RQ → Load sharing)

- If there are several homogenous processors, then load sharing is used & a common ready Q is used where all the jobs are put & are scheduled to

any available processor.

② Heterogenous processors



- If the processors are heterogenous, then each processor will have its own scheduling alg.

8/9/15 HE.M

① Process

A
B
C
D

A.T
0.0
1.001
4.001
6.001

TA
6
7
2

Processing time

3

6

7

2

TAT → Ending time - AT
or WT + CPU burst time
WT → Starting time - AT
or TAT - AT

Draw the Gantt chart & find out the TAT & WT using

(i) FCFS

(iv) RR (TQ=2)

(ii) SJF

(v) RR (TQ=1)

(iii) SRTF

Q. 2

Process	AT	Burst time	Priority
A	0.0	4	3
B	1.00	3	4
C	2.00	3	6
D	3.00	5	5

Draw the Gantt chart, find out TAT & WT using

(i) Preemptive priority

(ii) Non-preemptive

Ans

① FCFS

Process	AT	Processing time
A	0.0	3
B	1.00	6
C	4.00	4
D	8.00	2

priority TAT etc

TAT

WT

3
97.999

0
1.999

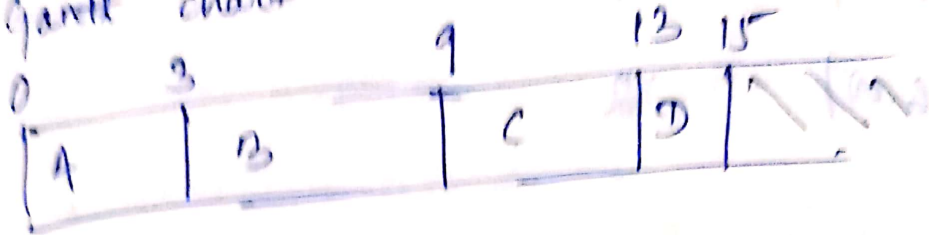
8.999

4.999

8.999

6.999

Gantt chart



SJF

Process

AT

Processing time

TAT

WT

A

0.0

3

B

1.00

6

C

4.00

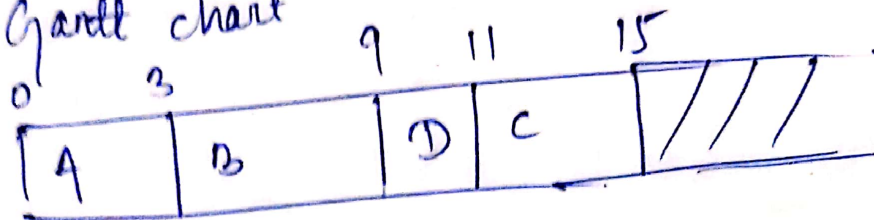
4

D

6.00

2

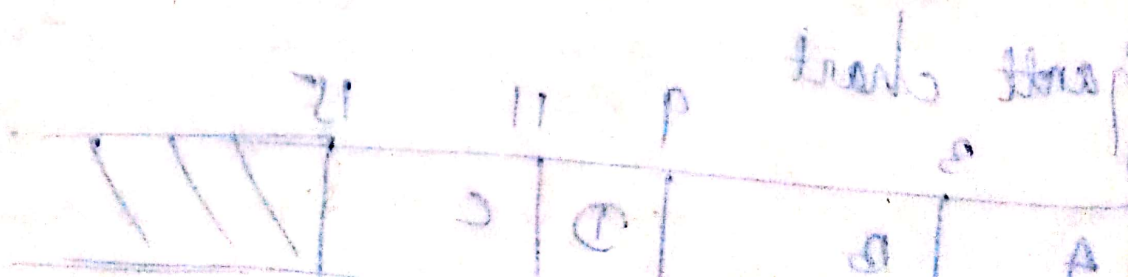
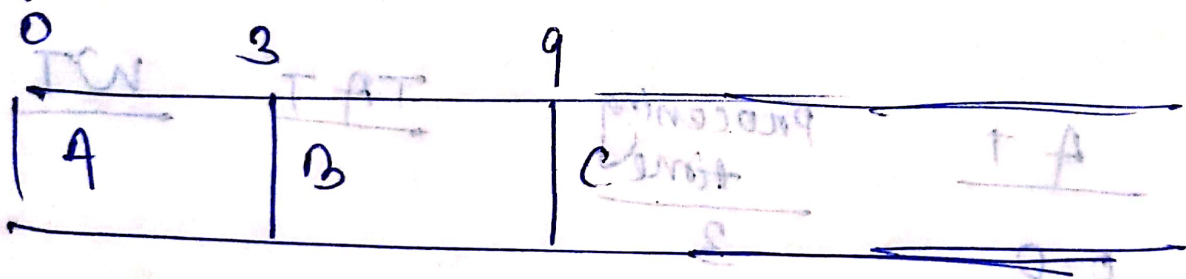
Gantt chart



SRTF

Process	AT	Processing time	TAT	WT
A	0.0	3	3.0	0.0
B	1.00	6	7.0	1.0
C	4.00	4	8.0	4.0
D	6.00	2	8.0	6.0

Gantt chart

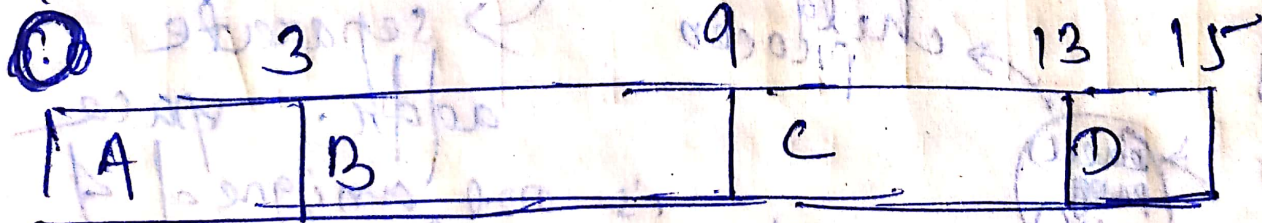


Ans of 8/9/15 H.W

① (i) FCFS

<u>Process</u>	<u>AT</u>	<u>Processing time</u>	<u>TAT</u>	<u>WT</u>
A	0.0	3	3	0
B	1.001	6	7.999	1.999
C	4.001	4	8.999	4.999
D	6.001	2	8.999	6.999
			<u>28.997</u>	<u>13.997</u>

Gantt chart

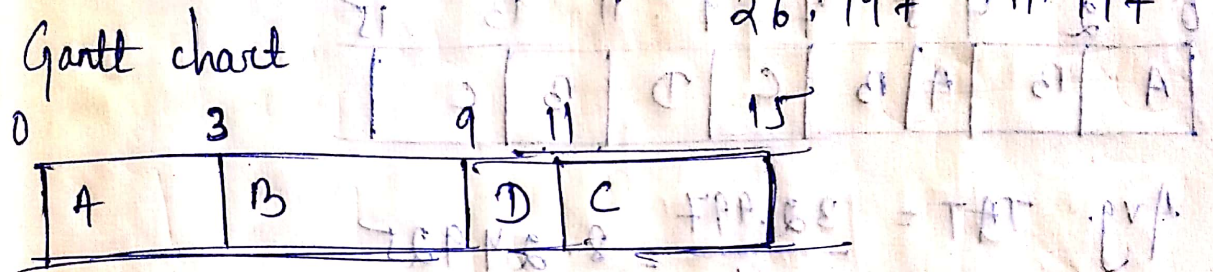


Avg. TAT = $\frac{28.997}{4} = 7.24925$

Avg. WT = $\frac{13.997}{4} = 3.49925$

SJF

(ii) Process	AT	Processing time	TAT	WT
A	0.0	3	3	0
B	1.00	6	7.999	1.999
C	4.00	4	10.999	6.999
D	6.00	2	4.999	2.999
			<u>26.997</u>	<u>11.997</u>

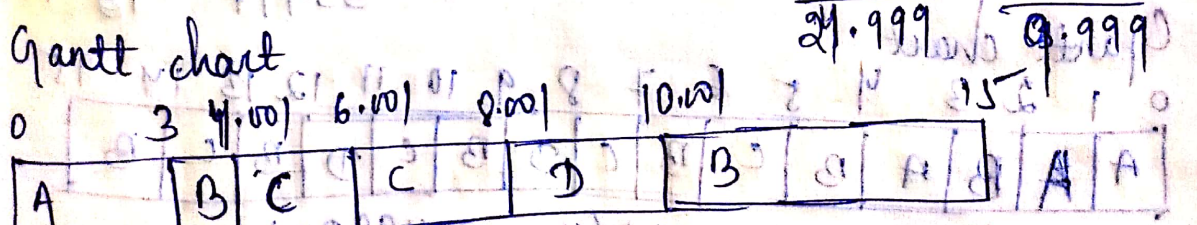


Avg. TAT = $\frac{26.997}{4} = 6.74925$

Avg. WT = $\frac{11.997}{4} = 2.99925$

SRJF

(iii) Process	AT	Processing time	Remaining time	TAT	WT
A	0.0	3	-	3	0
B	1.00	6	4.999	13.999	7.999
C	4.00	4	2	8	4
D	6.00	2	-	4	2
				<u>21.999</u>	<u>9.999</u>



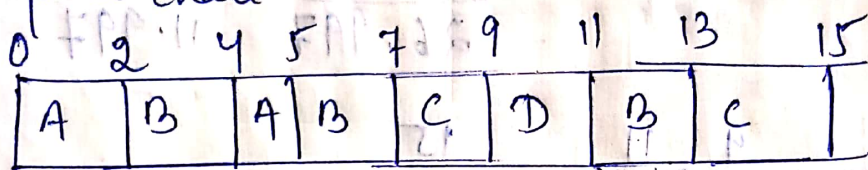
Avg. TAT = $\frac{21.999}{4} = 5.49975$

Avg. WT = $\frac{9.999}{4} = 2.49975$

(iv) RR (TQ=2)

Process	AT	Processing time	Remaining time	TAT	WT
A	0.0	3	X	5	2
B	1.00	6	X	11.999	5.999
C	4.00	4	X	10.999	2.999
D	6.00	2	-	4.999	2.999
				<u>32.997</u>	<u>17.997</u>

Gantt chart



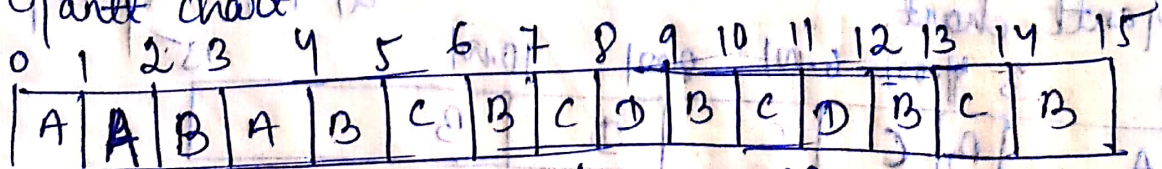
Avg. TAT = $\frac{32.997}{4} = 8.24925$

Avg. WT = $\frac{17.997}{4} = 4.49925$

(v) RR (TQ=1)

Process	AT	Processing time	Remaining time	TAT	WT
A	0.0	3	X	4	1
B	1.00	6	X	13.999	7.999
C	4.00	4	X	9.999	5.999
D	6.00	2	X	5.999	3.999
				<u>33.997</u>	<u>18.997</u>

Gantt chart



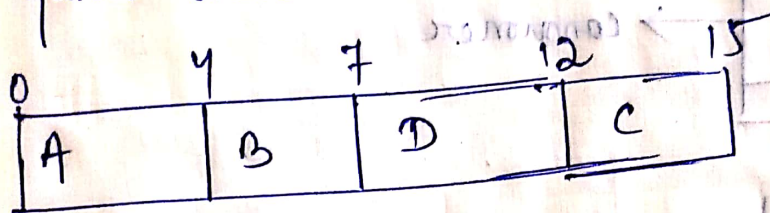
Avg. TAT = $\frac{33.997}{4} = 8.49925$

Avg. WT = $\frac{18.997}{4} = 4.74925$

② (i) Preemptive priority

Process	AT	Burst time	Priority	TAT	WT
A	0.0	4	3	4	0
B	1.001	3	4	5.999	2.999
C	2.001	3	6	12.999	9.999
D	3.001	5	5	8.999	3.999
				<u>31.997</u>	<u>16.997</u>

Gantt chart



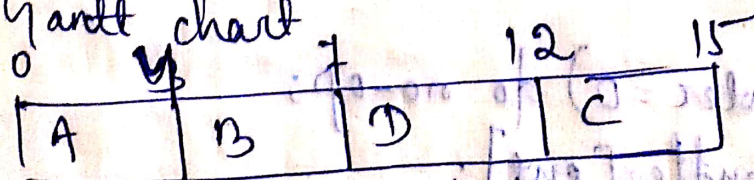
$$\text{Avg. TAT} = \frac{31.997}{4} = 7.99925$$

$$\text{Avg. WT} = \frac{16.997}{4} = 4.24925$$

(ii) Non preemptive priority

Process	AT	Burst time	Priority	TAT	WT
A	0.0	4	3	4	0
B	1.001	3	4	5.999	2.999
C	2.001	3	6	12.999	9.999
D	3.001	5	5	8.999	3.999
				<u>31.997</u>	<u>16.997</u>

Gantt chart



$$\text{Avg. TAT} = \frac{31.997}{4} = 7.99925$$

$$\text{Avg. WT} = \frac{16.997}{4} = 4.24925$$